

Q2 - 2020

May 15, 2020

IMPACT LEVEL:



Intercontinental Exchange (Interactive Data) - Plans to Re-open New York Stock Exchange on May 26, 2020

- Intercontinental Exchange' subsidiary New York Stock Exchange (NYSE) will reopen its trading floor to some brokers on May 26, 2020, with vital new safety measures
- President, Stacey Cunningham informed that the majority of those traders, who oversee the trading of 2,200 NYSE-listed companies, will continue to work remotely
- · Meanwhile, floor brokers will return in small numbers first and are required to wear protective masks on the floor
- Additionally, the NYSE will request all brokers and visitors to avoid public transportation, and they will be screened and have their temperatures taken at the entrance

Source(s)

https://www.cnbc.com/2020/05/14/new-york-stock-exchange-to-partially-reopen-may-26-with-vital-new-safety-measures-president-says.html

Targets Affected:

Intercontinental Exchange (Interactive Data)

Guidance

Actions to consider:

 Consider implementing suggested actions related to 'Business Recovery' under the 'COVID-19: Actions to Consider' section below / next page



Impact level Definitions

| Impact Level | Definitions |
|-----------------|---|
| Immediate | Certain - Supply Wisdom recommends considering prompt action. Examples of Immediate level alert events may include bankruptcy filings, data breaches, unexpected curfews, strikes, power black-outs, major geo-political events etc. |
| High | Highly likely to occur in the near term (within 3 months) - Supply Wisdom recommends being in a state of readiness to take quick action. Examples of High level alert events may include withdrawal of rating by ratings agencies, hostile takeover, multiple cyber-attacks, new business policies causing significant hardship etc. |
| Medium | Likely to occur in the mid-term (within 3 to 6 months) - Supply Wisdom recommends reviewing current mitigation steps and being ready to take proactive actions if and when situation deteriorates further. Examples of Medium level alert events may include unplanned C-level exits, suppliers caught in bribery cases, economy slipping into recession. |
| Low | Possible in the long-term (after 6 months) - Supply Wisdom recommends taking proactive action if situation does not resolve. Examples of Low level alert events may include lawsuits filed against suppliers, client losses, air pollution alerts issued by country's local authorities, unexpected holidays announced for the location etc. |
| Informational | The event is pertinent information but does not have a risk element associated with it. Examples of Info level alert events may include launch of new solutions, partnerships signed, industry outlook, positive changes in government policies, announcements regarding launch of software parks/ free trade zones/special economic zones etc. |

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